



2019 Awards Disclosure Page

Financial Times' 300 Top Registered Investment Advisors

The Financial Times 300 Top Registered Investment Advisors is an independent listing produced annually by the *Financial Times* (June 2019). The FT 300 is based on data gathered from RIA firms, regulatory disclosures, and the FT's research. The listing reflected each practice's performance in six primary areas: assets under management, asset growth, compliance record, years in existence, credentials and online accessibility. This award does not evaluate the quality of services provided to clients and is not indicative of the practice's future performance. Neither the RIA firms nor their employees pay a fee to The *Financial Times* in exchange for inclusion in the FT 300. Working with a ranked advisor should be evaluated properly and has no correlation to investment results. Working with a highly-ranked advisor does not ensure that a client or prospective client will experience a higher level of performance or results. This ranking is conducted by a third-party provider and not meant to serve as an endorsement for SAX Wealth Advisors. Rankings should not be considered an endorsement of the wealth manager by any client nor are they representative of any one client's evaluation. Provided for informational purposes only. The full 2019 Financial Times 300 Top Registered Investment Advisors report can be found here: <https://www.ft.com/reports/300-top-investment-advisors>

2019 Wealth Magnets: Accounting Today's Top 150 firms by AUM in the U.S.

The 2019 Wealth Magnets Ranking of the Top 150 Firms by AUM is published by *Accounting Today*, an independent company unaffiliated with SAX Wealth Advisors, LLC ("SAXWA"). The ranking is exclusively by assets under management and is based on submissions by over 200 firms. AUM reported is comprised of assets under management as well as under administration. The dates used by firms submitting data varies. Data provided by Audit Analytics, an online intelligence service that delivers audit, regulator and disclosure analysis to the accounting community. Information from these sources is deemed to be reliable, but accuracy cannot be guaranteed. SAXWA did not pay to be included in this ranking. Working with a ranked advisor should be evaluated properly and has no correlation to investment results. Working with a highly-ranked advisor does not ensure that a client or prospective client will experience a higher level of performance or results. Third-party rankings are no guarantee of future investment success or performance. This ranking is conducted by a third-party provider and not meant to serve as an endorsement for SAXWA. Rankings should not be considered an endorsement of the wealth manager by any client nor are they representative of any one client's evaluation. Provided for informational purposes only. Please see <https://www.accountingtoday.com/data/top-accounting-firms-by-aum> for further information.

The BAM National Conference Awards

The “Most 401(k) Plans Won” Award is based upon new plans won by all members of the BAM Alliance, whom BAM provides back-office services to. The BAM Alliance is a community of more than 135 independent wealth management firms located throughout the United States. While SAX contracts with BAM for various services, SAX did not pay to be included in the ranking. Working with a ranked advisor should be evaluated properly and has no correlation to investment results. Working with a highly-ranked advisor does not ensure that a client or prospective client will experience a higher level of performance or results. Third-party rankings are no guarantee of future investment success or performance. This ranking is conducted by a third-party provider and not meant to serve as an endorsement for SAX. Rankings should not be considered an endorsement of the wealth manager by any client nor are they representative of any one client’s evaluation. Provided for informational purposes only.